



Year: 2013

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Abstract: BACKGROUND: This study evaluates the geographic expression pattern of Raf-1 Kinase Inhibitor Protein (RKIP) in colorectal cancer (CRC) in correlation with clinicopathological and molecular features, markers of epithelial-mesenchymal transition (EMT) and survival outcome. METHODS: Whole-tissue sections of 220 well-characterised CRCs were immunostained for RKIP. NF- κ B and E-Cadherin expression was assessed using a matched multi-punch tissue microarray. Analysis of mismatch repair (MMR) protein expression, B-Raf and KRAS mutations was performed. RKIP expression in normal mucosa, tumour centre, invasion front and tumour buds was each assessed for clinical relevance. RESULTS: RKIP was diffusely expressed in normal mucosa and progressively lost towards tumour centre and front ($P < 0.0001$). Only 0.9% of tumour buds were RKIP-positive. In the tumour centre, RKIP deficiency predicted metastatic disease ($P = 0.0307$), vascular invasion ($P = 0.0506$), tumour budding ($P = 0.0112$) and an invasive border configuration ($P = 0.0084$). Loss of RKIP correlated with NF- κ B activation ($P = 0.0002$) and loss of E-Cadherin ($P < 0.0001$). Absence of RKIP was more common in MMR-deficient cancers ($P = 0.0191$), while no impact of KRAS and B-Raf mutation was observed. RKIP in the tumour centre was identified as a strong prognostic indicator (HR (95% CI): 2.13 (1.27-3.56); $P = 0.0042$) independently of TNM classification and therapy ($P = 0.0474$). CONCLUSION: The clinical relevance of RKIP expression as an independent prognostic factor is restricted to the tumour centre. Loss of RKIP predicts features of EMT and correlates with frequent distant metastasis.

DOI: <https://doi.org/10.1038/bjc.2013.197>

Posted at the Zurich Open Repository and Archive, University of Zurich

ZORA URL: <https://doi.org/10.5167/uzh-174585>

Journal Article

Published Version



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Originally published at:

Koelzer, Viktor H; Karamitopoulou, E; Dawson, H; Kondi-Pafiti, A; Zlobec, I; Lugli, A (2013). Geographic analysis of RKIP expression and its clinical relevance in colorectal cancer. *British Journal of Cancer*, 108(10):2088-2096.

DOI: <https://doi.org/10.1038/bjc.2013.197>

Keywords: colorectal cancer; Raf kinase inhibitor protein; RKIP; prognostic factor; therapy response

Geographic analysis of RKIP expression and its clinical relevance in colorectal cancer

V H Koelzer^{*,1}, E Karamitopoulou¹, H Dawson¹, A Kondi-Pafiti², I Zlobec¹ and A Lugli¹

¹Clinical Pathology Division and Translational Research Unit, Institute of Pathology, University of Bern, Murtenstrasse 31, CH-3010 Bern, Switzerland and ²Department of Pathology, Aretaieion University Hospital, University of Athens, Vass Sofias 76, 11528 Athens, Greece

Background: This study evaluates the geographic expression pattern of Raf-1 Kinase Inhibitor Protein (RKIP) in colorectal cancer (CRC) in correlation with clinicopathological and molecular features, markers of epithelial-mesenchymal transition (EMT) and survival outcome.

Methods: Whole-tissue sections of 220 well-characterised CRCs were immunostained for RKIP. NF- κ B and E-Cadherin expression was assessed using a matched multi-punch tissue microarray. Analysis of mismatch repair (MMR) protein expression, B-Raf and KRAS mutations was performed. RKIP expression in normal mucosa, tumour centre, invasion front and tumour buds was each assessed for clinical relevance.

Results: RKIP was diffusely expressed in normal mucosa and progressively lost towards tumour centre and front ($P < 0.0001$). Only 0.9% of tumour buds were RKIP-positive. In the tumour centre, RKIP deficiency predicted metastatic disease ($P = 0.0307$), vascular invasion ($P = 0.0506$), tumour budding ($P = 0.0112$) and an invasive border configuration ($P = 0.0084$). Loss of RKIP correlated with NF- κ B activation ($P = 0.0002$) and loss of E-Cadherin ($P < 0.0001$). Absence of RKIP was more common in MMR-deficient cancers ($P = 0.0191$), while no impact of KRAS and B-Raf mutation was observed. RKIP in the tumour centre was identified as a strong prognostic indicator (HR (95% CI): 2.13 (1.27–3.56); $P = 0.0042$) independently of TNM classification and therapy ($P = 0.0474$).

Conclusion: The clinical relevance of RKIP expression as an independent prognostic factor is restricted to the tumour centre. Loss of RKIP predicts features of EMT and correlates with frequent distant metastasis.

RKIP is a ubiquitously expressed phospholipid-binding protein that functions as an endogenous inhibitor of the Ras/Raf/MEK/ERK signalling cascade (Yeung *et al*, 1999). RKIP inhibits this pathway at the level of Raf. It binds both Raf and MEK, inhibiting their association and the phosphorylation of MEK, thereby turning off the signalling pathway (Supplementary Figure 1A). Subsequently, it was shown that RKIP suppresses the activation of the NF- κ B/SNAIL circuit (Supplementary Figure 1B) (Wu and Bonavida, 2009). This pathway has an important role for the induction of epithelial-mesenchymal transition (EMT) of cancer cells as one of the initial steps for the induction of metastasis (Wu and Bonavida, 2009). The histopathological hallmark of EMT is thought to be the presence of single tumour cells or small

clusters (< 5) of dedifferentiated cells at the invasive front of CRC, termed tumour budding (Jass *et al*, 1986).

A reduced expression of RKIP has been identified as a common feature in malignant tumours with a further decrease in metastatic disease (Fu *et al*, 2003; Hagan *et al*, 2005). Using animal models, conclusive evidence has been provided that RKIP impairs invasiveness and the ability to form metastases in cancer cells (Fu *et al*, 2003). Loss of RKIP protein has been identified as a significant prognostic factor in prostate cancer (Fu *et al*, 2006), CRC (Al-Mulla *et al*, 2006; Minoo *et al*, 2007; Zlobec *et al*, 2008; Doyle *et al*, 2013), GIST (Martinho *et al*, 2009), pancreas (Kim *et al*, 2010), lung (Huerta-Yepez *et al*, 2011), and very recently in oesophagus (Kim *et al*, 2012), stomach (Fujimori *et al*, 2012) and

*Correspondence: Dr VH Koelzer; E-mail: viktor.koelzer@pathology.unibe.ch

Received 2 January 2013; revised 24 March 2013; accepted 9 April 2013; published online 30 April 2013

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malignant glioma (Martinho *et al*, 2012). The fact that the protein expression of RKIP shows a strong correlation with survival outcome in a variety of malignancies of epithelial, mesenchymal and glial origin highlights the potential value of this biomarker for prospective clinical trials.

In colorectal cancer (CRC), several studies based on single-punch tissue microarrays (TMA) demonstrated a significant correlation between RKIP expression and overall survival in CRC: Al-Mulla *et al* (2006) provided first evidence that RKIP protein expression in primary CRC strongly correlates with the overall survival independent of stage and may be used efficiently for risk stratification. Consecutively, Minoo *et al* (2007) demonstrated that the assessment of RKIP protein expression by immunohistochemistry is highly reproducible (ICC=0.75) and confirmed the predictive value of RKIP expression for overall survival and metastases in both MMR-proficient and deficient tumours in a large cohort of over 1000 CRC patients. Further, it was demonstrated by our group that RKIP status can be combined with N-stage and vascular invasion to provide independent predictive information on metastatic disease (Zlobec *et al*, 2008). In TMA-based profiling of multi-marker phenotypes of CRC, we identified RKIP as a predictor of high-grade tumour budding in univariate, but not in multivariate analysis (Karamitopoulou *et al*, 2010) that is differentially expressed between tumour centre and tumour front (Karamitopoulou *et al*, 2011).

Taken together, these studies suggest that RKIP may be able to serve as a valuable biomarker to identify aggressive CRC that should be considered for adjuvant therapy. However, recent studies provide conclusive evidence that malignant tumours demonstrate extensive genetic heterogeneity (Gerlinger *et al*, 2012). It is therefore important to evaluate the intratumoural heterogeneity of immunohistochemical marker expression on large bore TMAs or whole-tissue sections to confirm the prognostic and predictive value of promising biomarkers in the context of molecular characteristics. Consequently, the aim of this study was the geographic analysis of RKIP expression in all histological areas of CRC and to determine the correlation of RKIP expression pattern with clinicopathological characteristics, molecular features and survival outcome.

MATERIALS AND METHODS

Patient cohort. Two hundred and twenty unselected, non-consecutive CRC patients treated at the Aretaieion University Hospital, University of Athens, Greece between the years 2004 and 2007 were included in this study (Figure 1). Clinical data including patient gender, age at diagnosis, tumour diameter, tumour location, post-operative therapy information and disease-specific survival time were retrieved from patient records. The histomorphological data were reviewed by an experienced gastrointestinal pathologist (EK) and includes histological subtype, tumour grade, pT classification, lymphatic and venous invasion, nodal status, tumour growth pattern and tumour budding. Tumour budding was quantified in 10HPF along the invasive front of each case (Karamitopoulou *et al*, 2013). The average survival time was 47 months (35–58 months). Patient characteristics are summarised in Table 1; where indicated by a lower case number, information for single patients on one of the clinicopathological features was not available. Information on the number of patients receiving chemotherapeutic treatment within each subset is indicated in Tables 2 and 3 and Supplementary Table S3; further information on therapy and performance status is provided in Supplementary Tables S1 and S2. The use of patient data has been approved by the local Ethics Committee of the University of Athens, Greece.

Analysis. Antibodies for RKIP (Merck Millipore, Billerica, MA, USA; Cat no. 07–137; rabbit anti-human RKIP, polyclonal) were used at a dilution of 1:1000. RKIP staining was performed using a Bond Max Autostainer from Leica Microsystems (Wetzlar, Germany) with antigen retrieval performed in citrate buffer at 100 °C for 20 min. For every case, the percentage of RKIP-positive cells per HPF was evaluated in a total of 35 randomly selected high-power fields (HPFs) in the tumour centre, invasion front, tumour buds (10HPF each) and normal mucosa (5 HPF) independent of staining intensity (Figure 2A and B). Normal colonic mucosa and nerve tissue served as an internal positive control; negative controls were performed without primary antibody. Isotype controls were

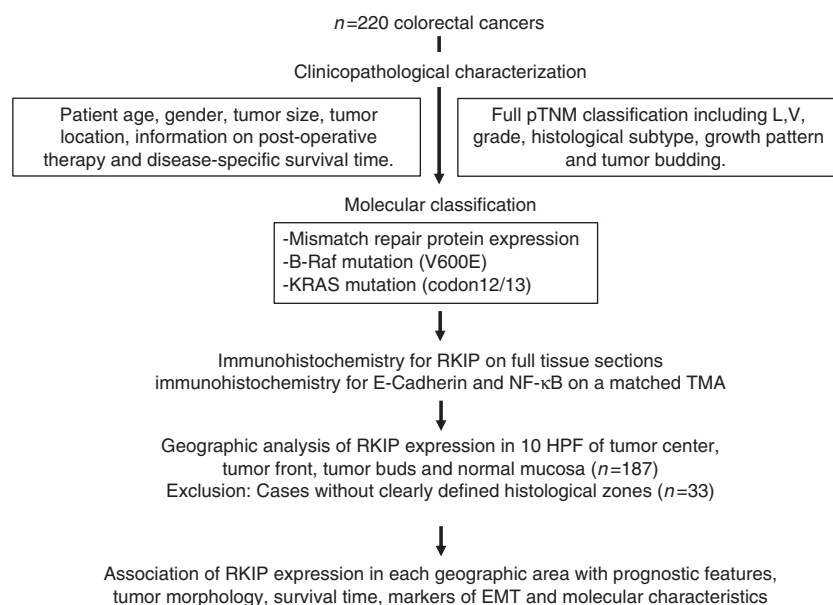


Figure 1. Study design: 220 CRC patients were entered into the study. Cases were reviewed for clinicopathological features and characterised for B-Raf, KRAS mutations and MMR protein expression. Immunohistochemistry for RKIP was performed on full-tissue sections. Geographic analysis of RKIP expression patterns was performed in 10HPF each of tumour centre, tumour front and tumour buds as well as normal mucosa (5 HPF). Expression of E-Cadherin and NF-κB was assessed on a matched multi-punch TMA. The association of RKIP protein expression with prognostic features, tumour morphology, survival time, markers of EMT and molecular characteristics was carried out for each histological zone.

Table 1. Patient characteristics (n = 187)

Feature	Frequency (n, %)
Gender (n = 186)	
Male	92 (49.5)
Female	94 (50.5)
Age (years)	
Mean (min, max)	68.1 (35–93)
Tumor size (mm)	
Mean (min, max)	4.5 (1–12)
Histological subtype (n = 187)	
Non-mucinous	163 (87.2)
Mucinous	24 (12.8)
Tumor location (n = 186)	
Left-side	115 (61.8)
Right-side	45 (24.2)
Rectum	26 (14.0)
Tumor grade (n = 187)	
G1–2	118 (63.1)
G3	69 (36.9)
pT (n = 187)	
pT1–2	49 (26.2)
pT3–4	138 (73.8)
pN (n = 187)	
pN0	95 (50.8)
pN1–2	92 (49.2)
pM (n = 186)	
pM0	169 (90.9)
pM1	17 (9.1)
V (n = 187)	
V+	29 (15.5)
V–	158 (84.5)
L (n = 187)	
L+	75 (40.1)
L–	112 (59.9)
Tumor budding (n = 187)	
Low-grade	105 (56.2)
High-grade	82 (43.9)
Tumor growth pattern (n = 187)	
Infiltrating	134 (71.7)
Pushing	53 (28.3)
Post-operative therapy (n = 187)	
None	69 (36.9)
Chemo	75 (40.1)
Chemo + radio	42 (22.5)
Radio	1 (0.5)
Mismatch repair status (n = 186)	
Proficient	173 (93.0)
Deficient	13 (7.0)

Table 1. (Continued)

Feature	Frequency (n, %)
KRAS mutation (codon 12/13), (n = 171)	
Wild type	113 (66.1)
Mutated	58 (33.9)
Survival time (n = 186)	
Months	47 (35–58)

established using a matched multi-punch test TMA of CRC and normal mucosa (Figure 2C). Based on receiver operating characteristic (ROC)-curve analysis for survival, tumour regions with >10% of RKIP-positive cells were classified as positive. Cases that did not allow a clear definition of the different histological zones were excluded from the analysis (33 cases). This exclusion criterion occurred randomly. The average expression in tumour centre, front and tumour buds was assessed for its correlation with clinicopathological features and molecular characteristics of each case. Analysis of mismatch-protein expression was performed using a four-marker panel (MLH1, MSH2, MSH6 and PMS2) as previously described (Koelzer *et al*, 2012). For markers of EMT, staining for NF- κ Bp65 and E-Cadherin was performed using a matched multi-punch TMA from the same cohort containing two spots each from the tumour centre and tumour front. NF- κ Bp65 immunostaining (Merck Millipore; Cat. no. D14E12; 1:1000) was performed using a Bond Max Autostainer from Leica Microsystems (Wetzlar, Germany) with antigen retrieval in citrate buffer at 100 °C for 30 min; staining for E-cadherin (Dako, Baar, Switzerland; Cat. no. NCH-38; 1:100) was performed as previously described (Karamitopoulou *et al*, 2010). KRAS mutational status (codon 12/13) and B-Raf mutational status was established using pyrosequencing.

Statistical analysis. Differences in RKIP expression by tissue type were evaluated using the Kruskal–Wallis test. Cutoff for RKIP expression was derived using ROC curve analysis and the end point survival (Zlobec *et al*, 2007), based on the current cohort. The association of RKIP loss with clinicopathological features was assessed using the χ^2 , or Fisher's exact test where appropriate. Differences in survival time were displayed using Kaplan–Meier curves and tested using the log-rank test in univariate analysis. The independent prognostic effect of RKIP after adjusting for other potential confounding factors was analysed using a Cox regression model, after verification of the proportional hazards assumption. Adjustment for multiple hypothesis testing was not undertaken (Perneger, 1998), rather *P*-values <0.05 were considered statistically significant. Analyses were carried out using SAS (V9.2, SAS Institute, Cary, NC, USA). This study complied with the REporting recommendations for tumour MARKer prognostic studies (REMARK) criteria (McShane *et al*, 2005).

RESULTS

Geographic analysis of RKIP protein expression. The highest average expression of RKIP was consistently observed in normal mucosa (27% RKIP+ cells), with a significant reduction in cancer tissue (11% RKIP+ cells across all areas). Interestingly, within CRCs, RKIP expression demonstrated considerable heterogeneity: RKIP was lost progressively from the tumour centre towards the invasive front and tumour buds (13.8%, 8.3% and 0.9% RKIP-positivity, respectively; *P*<0.0001) (Figure 2D). Tumour buds consistently showed the lowest frequency of RKIP expression, in

Table 2. Expression of RKIP in the tumor centre and association with clinicopathological features (*n* = 187)

RKIP (<i>n</i> , %)			
Feature	Negative (<10%)	Positive (≥10%)	P-value
Gender (<i>n</i> = 186)			
Male	43 (50.6)	49 (48.5)	0.7781
Female	42 (49.4)	52 (41.5)	—
Age (years)			
Mean (min, max)	67.4 (35, 89)	68.7 (36, 93)	0.4496
Tumor size (mm)			
Mean (min, max)	4.7 (1, 12)	4.3 (1.2, 9.0)	0.2931
Histological subtype (<i>n</i> = 187)			
Non-mucinous	73 (85.9)	90 (88.2)	0.6319
Mucinous	12 (14.1)	12 (11.8)	—
Tumor location (<i>n</i> = 186)			
Left-side	53 (63.1)	62 (60.8)	0.9016
Right-side	12 (14.3)	14 (13.7)	—
Rectum	19 (22.6)	26 (25.5)	—
Tumor grade (<i>n</i> = 187)			
G1–2	48 (56.5)	70 (68.6)	0.0863
G3	37 (43.5)	32 (31.4)	—
pT (<i>n</i> = 187)			
pT1–2	23 (27.1)	26 (25.5)	0.8081
pT3–4	62 (72.9)	76 (74.5)	—
pN (<i>n</i> = 187)			
pN0	41 (48.2)	54 (52.9)	0.5216
pN1–2	44 (51.8)	48 (47.1)	—
pM (<i>n</i> = 186)			
pM0	73 (85.9)	96 (95.1)	0.0307
pM1	12 (14.1)	5 (5.0)	—
V (<i>n</i> = 187)			
V+	18 (21.2)	11 (10.8)	0.0506
V–	67 (78.8)	91 (89.2)	—
L (<i>n</i> = 187)			
L+	39 (45.9)	36 (35.3)	0.1413
L–	46 (54.1)	66 (64.7)	—
Tumor budding (<i>n</i> = 148)			
Low-grade	47 (72.3)	43 (51.8)	0.0112
High-grade	18 (27.7)	40 (48.2)	—
Tumor growth pattern (<i>n</i> = 187)			
Infiltrating	69 (81.2)	65 (63.7)	0.0084
Pushing	16 (18.8)	37 (36.3)	—
Mismatch repair status (<i>n</i> = 186)			
Proficient	75 (88.2)	98 (97.0)	0.0191
Deficient	10 (11.8)	3 (3.0)	—

Table 2. (Continued)

RKIP (n, %)			
Feature	Negative (<10%)	Positive (≥ 10%)	P-value
B-RAF mutation (V600E) (n = 180)			
Wild type	70 (87.5)	93 (93.0)	0.2099
Mutated	10 (12.5)	7 (7.0)	—
KRAS mutation (codon 12/13), (n = 171)			
Wild type	51 (67.1)	62 (65.3)	0.8004
Mutated	25 (32.9)	33 (34.7)	—
Hazard ratio for death with loss of RKIP (n = 186)			
HR (95%CI)	2.13 (1.27–3.56)		0.0042
Adjuvant therapy (n = 187)			
None	30 (43.5)	55 (46.6)	0.6781
Treated	39 (56.5)	63 (53.4)	—

comparison to tumour centre and front, with 60.8% of cases showing complete loss of RKIP expression in this area.

Association of RKIP expression with clinicopathological features. RKIP loss in the tumour centre independently predicted more frequent distant metastatic disease ($P = 0.0307$). Of 17 patients with distant metastasis, 12 showed loss of RKIP expression in the primary tumour (Table 2). Further, a strong trend towards vascular invasion ($P = 0.0506$) was observed. In patients with loss of RKIP expression, vascular invasion was observed in 21.2% of cases, while only 10.8% of patients with maintained RKIP expression in their primary tumours presented with this feature. Further, RKIP-negative tumours showed an aggressive phenotype with invasive tumour border configuration in up to 81.2% of cases, independent of the geographic distribution of RKIP loss (infiltrative tumour growth, centre: $P = 0.0084$; front $P = 0.038$; (Tables 2 and 3). At the same time, intense tumour budding (> 10 tumour buds per HPF) was observed in tumours with RKIP loss in tumour centre or front (centre: $P = 0.0112$; front $P = 0.0017$). RKIP loss at the invasive front correlated inversely with tumour size ($P = 0.0229$), and identified a trend towards vascular and lymphatic invasion ($P = 0.0587$ and $P = 0.0514$ respectively), while no association with nodal or distant metastatic disease was observed (Table 3). Loss of RKIP was frequent in tumour buds, with 60.8% of cases demonstrating complete loss in this geographic area of CRC. Within tumour buds themselves, no association of RKIP expression with clinicopathological features was observed (Supplementary Table S3).

Association of RKIP expression with survival. Loss of RKIP expression in the tumour centre predicted a significantly increased hazard ratio for death (HR (95%CI): 2.13 (1.27–3.56); $P = 0.0042$), independently of TNM classification and therapy ($P = 0.0474$) (Figure 3A, Tables 2 and 4). In contrast, RKIP expression at the front and in tumour buds did not show utility for the prediction of survival (HR (95% CI): 1.37 (0.84–2.22); $P = 0.2082$ and HR (95% CI): 0.98 (0.58–1.68); $P = 0.9467$, respectively) (Figure 3B and C). We further analysed the predictive value of RKIP expression for survival in the tumour centre stratified by stage: In stage III CRC, a significant correlation between maintained RKIP expression and prolonged survival was observed ($P = 0.01$), while early-stage patients did not derive prognostic benefit ($P = 0.9585$) (Figure 4A and B). Further subgroup analysis demonstrated that the prognostic value of RKIP expression in the tumour centre was

Table 3. Expression of RKIP in the tumor front and association with clinicopathological features (n = 187)

	RKIP (n, %)		
Feature	Negative (<10%)	Positive (≥ 10%)	P-value
Gender (n = 186)			
Male	43 (50.0)	49 (49.0)	0.8918
Female	43 (50.0)	51 (51.0)	—
Age (years)			
Mean (min, max)	67.6 (35, 89)	68.6 (36, 93)	0.5366
Tumor size (mm)			
Mean (min, max)	4.9 (1, 12)	4.2 (1.2, 3.0)	0.0229
Histological subtype (n = 187)			
Non-mucinous	73 (84.9)	90 (89.1)	0.3893
Mucinous	13 (15.1)	11 (10.9)	—
Tumor location (n = 186)			
Left-side	54 (63.5)	61 (60.4)	0.6518
Right-side	13 (15.3)	13 (12.9)	—
Rectum	18 (21.2)	27 (26.7)	—
Tumor grade (n = 187)			
G1–2	48 (55.8)	70 (69.3)	0.0567
G3	38 (44.2)	31 (30.7)	—
pT (n = 187)			
pT1–2	21 (24.4)	28 (27.7)	0.6086
pT3–4	65 (75.6)	73 (72.3)	—
pN (n = 187)			
pN0	39 (45.4)	56 (55.5)	0.1687
pN1–2	47 (54.7)	45 (44.6)	—
pM (n = 186)			
pM0	75 (87.2)	94 (94.0)	0.1091
pM1	11 (12.8)	6 (6.0)	—
V (n = 187)			
V +	18 (20.9)	11 (10.9)	0.0587
V –	68 (79.1)	90 (89.1)	—
L (n = 187)			
L +	41 (47.7)	34 (33.7)	0.0514
L –	45 (52.3)	67 (66.3)	—
Tumor budding (n = 148)			
Low-grade	50 (74.6)	40 (49.4)	0.0017
High-grade	17 (25.4)	41 (50.6)	—
Tumor growth pattern (n = 187)			
Infiltrating	68 (79.1)	66 (65.4)	0.038
Pushing	18 (20.9)	35 (34.7)	—
Mismatch repair status (n = 186)			
Proficient	77 (89.5)	96 (96.0)	0.0847
Deficient	9 (10.5)	4 (4.0)	—

Table 3. (Continued)

		RKIP (n, %)		
Feature	Negative (<10%)	Positive (≥10%)	P-value	
B-RAF mutation (V600E) (n = 180)				
Wild type	71 (86.6)	92 (93.9)	0.0957	
Mutated	11 (13.4)	6 (6.1)	—	
KRAS mutation (codon 12/13), (n = 171)				
Wild type	54 (71.1)	59 (62.1)	0.2194	
Mutated	22 (29.0)	36 (37.9)	—	
Hazard ratio for death with loss of RKIP (n = 186)				
HR (95%CI)	1.37 (0.84–2.22)			0.2082
Adjuvant therapy (n = 187)				
None	30 (43.5)	56 (47.5)	0.5983	
Treated	39 (56.5)	62 (52.5)	—	

maintained in stage III CRC patients receiving chemotherapy ($P=0.002$), (Figure 4C).

Correlative analysis of RKIP Expression with E-Cadherin and NF- κ B. Correlative analysis of average RKIP expression in the tumour centre with markers of EMT demonstrated a significant positive correlation with staining for E-Cadherin in this geographic area of CRC ($P<0.0001$; $r=0.4$). Cases with loss of RKIP infrequently demonstrated maintained E-Cadherin expression, indicating loss of cell adhesion. Further, RKIP expression in the tumour centre correlated inversely with an increased frequency of nuclear translocation of NF- κ B ($P=0.0002$; $r=-0.156$), indicating that loss of RKIP correlates with more nuclear positivity for the marker and increased activation of the NF- κ B signalling pathway.

Analysis of RKIP expression in a molecular pathology context. As RKIP serves a central role in the regulation of the mitogen-activated kinase (MAPK) signalling cascade, which is initialised by Raf-1, RKIP protein expression was analysed in the context of KRAS and activating B-Raf (V600) mutation frequency. Interestingly, both KRAS and activating B-Raf mutations did not show an impact on RKIP protein expression in any of the areas analysed (Tables 2 and 3 and Supplementary Table S3). RKIP maintained its prognostic significance when adjusting for B-Raf status ($P=0.0051$; HR (95% CI): 2.15 (1.26–3.67)) as well as KRAS-mutation ($P=0.0029$; HR (95% CI): 2.28 (1.3–3.9)) in multivariate analysis. B-Raf and KRAS mutations were not prognostic in our series ($P=0.958$ and $P=0.1986$ respectively). Loss of RKIP expression in the tumour centre and in tumour buds was significantly more common in MMR-deficient cancers ($P=0.0191$ and $P=0.05$, respectively), while no association was observed at the tumour front.

DISCUSSION

This study presents a novel geographic analysis of RKIP expression pattern in primary CRC in accordance with the REMARK guidelines for biomarker prognostic studies (McShane *et al*, 2005). By these means, we identify considerable intratumoural heterogeneity of RKIP expression in primary CRC. Interestingly, the prognostic value of RKIP expression in CRC is shown to be limited to the tumour centre. In this geographic area, loss of RKIP

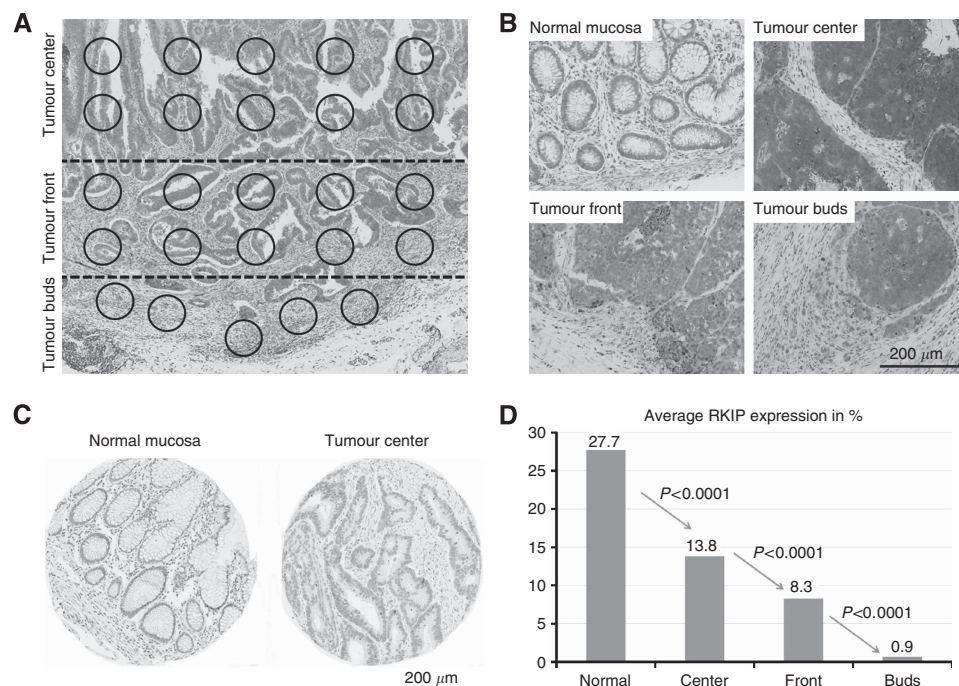


Figure 2. (A) RKIP-stained full-tissue section with exemplary identification of the geographic areas tumour centre, tumour front and tumour buds and high-power fields selected for evaluation (B) Immunohistochemistry for RKIP showing normal mucosa, tumour centre, tumour front and tumour buds (all $100\times$). (C) Isotype controls for RKIP staining on normal mucosa and tumour tissue. (D) Average expression of RKIP (% of positive cells in 10HPF) in the different histological zones of CRC. Expression of RKIP is progressively lost from normal mucosa to tumour centre, tumour front and tumour buds ($P < 0.0001$).

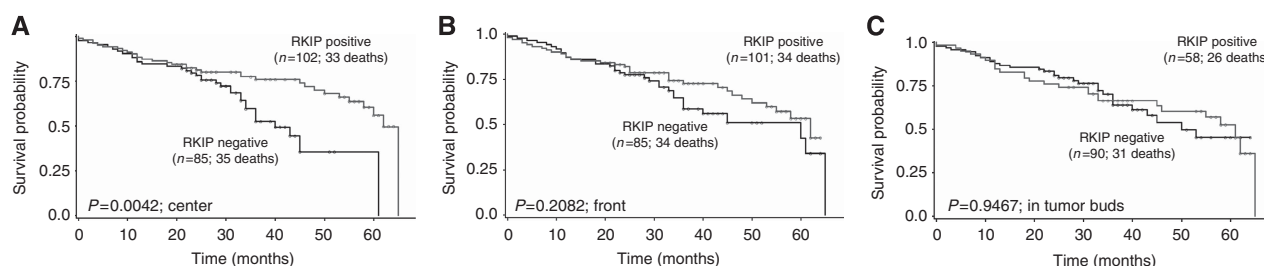


Figure 3. Prognostic effects of RKIP expression in tumour centre, tumour front and tumour buds. (A) Loss of RKIP expression in the tumour centre predicted a significantly increased hazard ratio for death (HR (95% CI): 2.13 (1.27–3.56); $P = 0.0042$). (B, C) In contrast, no impact of RKIP expression on outcome was observed at the tumour front and in tumour buds (HR (95% CI): 1.37 (0.84–2.22); $P = 0.2082$ and HR (95% CI): 0.98 (0.58–1.68); $P = 0.9467$, respectively).

is a valuable marker for the prediction of aggressive histopathological features, metastatic relapse and poor outcome in CRC patients and correlates with histomorphological features of EMT, loss of E-Cadherin and NF- κ B activation.

The present study contributes to the understanding of the role of RKIP in CRC in several ways. In a first step, we analyse RKIP expression in a well-characterised set of CRC-patients using a novel geographic approach towards protein expression analysis by immunohistochemistry. Our results illustrate a considerable biological variation of RKIP protein expression in primary CRC with progressive loss of RKIP from the tumour centre towards the tumour front and almost complete absence in tumour buds. Previously conducted studies have been based on tissue microarray analysis (Al-Mulla *et al*, 2006; Minoo *et al*, 2007; Zlobec *et al*, 2008; Doyle *et al*, 2013), which may cause considerable underestimation of the true distribution of RKIP expression based on the well-described genetic heterogeneity of CRC (Gerlinger *et al*, 2012). A first indication of intratumoural heterogeneity of RKIP expression in CRC was provided by systematic analysis of proteins from

different signalling pathways in the tumour centre and invasive front in a previously conducted study by our group (Karamitopoulou *et al*, 2011). However, a systematic protein expression analysis in all histological areas of CRC, the examination of RKIP expression in tumour buds and the systematic investigation of the molecular pathology context of RKIP expression was not performed.

Second, we show that loss of RKIP expression in the tumour centre is strongly associated with aggressive histopathological features, metastatic relapse and poor clinical outcome independent of TNM stage and postoperative therapy. This data confirms previous studies that identify RKIP as a predictive marker of metastasis and decreased survival outcome in CRC (Al-Mulla *et al*, 2006; Minoo *et al*, 2007; Zlobec *et al*, 2008; Doyle *et al*, 2013). In these studies, both single-punch (Al-Mulla *et al*, 2006; Minoo *et al*, 2007; Zlobec *et al*, 2008) and multi-punch TMAs (Doyle *et al*, 2013) from the tumour centre were used in non-geographic analysis. Interestingly, the prognostic value of RKIP in geographic analysis is restricted to the tumour centre, confirming these

previous studies that were limited to tumor samples of this area. In correlative subgroup analysis, stage III patients of our series with maintained RKIP expression in the tumor centre derived significant prognostic benefit, while the prognosis of stage II patients was not influenced by RKIP expression. These results based on geographic analysis of RKIP protein expression contrast with previously published studies that identify a significant prognostic impact of RKIP expression in early-stage CRC patients (Al-Mulla *et al*, 2006; Zlobec *et al*, 2008; Doyle *et al*, 2013). Differences in study design, analytical methods (antibodies, staining protocols), the material used (TMA *vs* full tissue sections), therapy and patient selection may contribute to the observed discrepancies in the survival analysis of the early-stage subset. Clearly, the prognostic value of RKIP expression of the stage II subset needs to be further studied, ideally in randomized prospective clinical trials.

Third, we demonstrate a maintained correlation between RKIP expression in the tumour centre and improved survival outcome in stage III patients receiving chemotherapy. A direct comparison between treated and untreated stage III patients was not possible with adequate statistical power in our study cohort. This is due to the low frequency of stage III patients who did not receive chemotherapeutic agents in our series, as adjuvant treatment corresponds to the standard of care for CRC patients presenting with nodal metastasis. Consequently, studies analysing impact of

RKIP on therapy response in CRC are few: Minoo *et al* (2007) first demonstrated an increased response rate in a retrospective analysis of CRC patients receiving adjuvant therapy. An enhanced response to chemotherapeutic agents dependent on RKIP expression has also previously been demonstrated in several other epithelial malignancies, including breast and prostate cancer (Chatterjee *et al*, 2004). On a mechanistic level, RKIP has been shown to regulate tumour-cell resistance to apoptotic stimuli through the induction of TRAIL (Baritaki *et al*, 2007). Al-Mulla *et al* (2012) have provided further insights into how RKIP expression effectively sensitises CRC cell lines to chemotherapeutic and immunotherapeutic drugs: RKIP enhances the stability of Kelch-like ECH-associated protein 1 (KEAP 1) inhibiting the activation of NF-E2-related nuclear factor 2 (NRF2)-responsive genes, including intracellular antioxidants and redox-regulating genes. Based on these mechanistic insights and the available retrospective clinical data, RKIP expression in the tumour centre of CRC may be a promising future predictive marker for evaluation in randomized multicenter trials and prospective studies.

Fourth, the current study demonstrates a strong correlation of the loss of RKIP with morphological hallmarks of EMT such as an invasive border configuration and strong tumour budding activity both in the tumour centre and tumour front. On a signalling level, we identify strong correlations of RKIP-loss in the tumour centre with nuclear translocation of NF- κ B and loss of E-cadherin expression. This confirms previous data by our group that identified loss of RKIP as a potential feature of a protein phenotype for the prediction of tumour budding in CRC (Karamitopoulou *et al*, 2010). We now further advance this analysis by correlation of geographic expression patterns of RKIP with features of EMT both on a morphological and signalling level and RKIP expression profiling of tumour buds using full-tissue sections. These data provide further evidence of the association of RKIP loss with features of EMT as histological hallmarks of biological – and clinical – aggressiveness in CRC. RKIP has been suggested to have a major and pleiotropic role for negative regulation of EMT through inhibition of both Raf-1/MEK/ERK and NF- κ B mediated signalling (Wu and Bonavida, 2009). RKIP also negatively influences the expression of β -catenin, SNAIL and SLUG by enhancing glycogen synthase kinase-3 β signalling, and downregulates central mediators of cell cycle progression and cell motility (Al-Mulla *et al* 2011a, b). Loss of RKIP disinhibits NF- κ B, which contributes to the induction of metastasis through the activation of its downstream transcriptional target SNAIL in cancer cells (Wu *et al*, 2009); SNAIL contributes to the induction of EMT through positive-feedback regulation of WNT-signalling resulting in downregulation of E-Cadherin and nuclear translocation of β -catenin (Wu and Bonavida, 2009). The current study provides further evidence of a link between loss of RKIP and activation of EMT on a histopathologic level based on the presence of tumour budding and an invasive tumour border configuration in cases with loss of RKIP at the tumour centre or front. Further, we

Table 4. Multivariate Cox regression analysis of RKIP expression in the tumor center and other established prognostic variables		
	HR (95% CI)	P-value
RKIP expression		
Negative (<10%)	1.78 (1.01–3.07)	0.0474
Positive (>10%)	1.0	—
T-Stage		
pT1–2	1.0	0.5021
pT3–4	1.34 (0.57–3.17)	—
N-Stage		
pN0	1.0	0.0001
pN1–2	4.15 (2.0–8.6)	—
M-Stage		
pM0	1.0	0.0002
pM1	3.44 (1.79–6.6)	—
Postop therapy		
No	1.0	0.1127
Yes	0.61 (0.33–1.12)	—

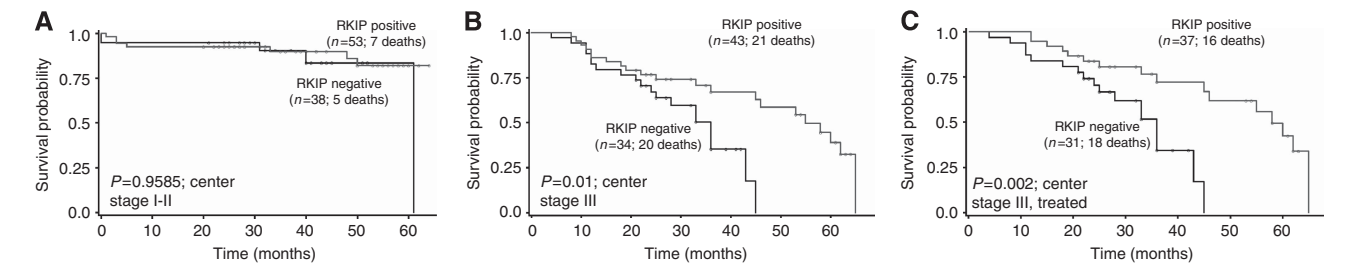


Figure 4. Prognostic effects of RKIP expression in tumour centre stratified by stage and therapy. (A) No impact on prognosis was observed in early-stage patients ($P=0.9585$). (B) Stage III patients with maintained RKIP expression derived a significant survival benefit ($P=0.01$). (C) The prognostic value of RKIP in the tumor centre was maintained in stage III CRC patients receiving adjuvant chemotherapy ($P=0.002$).

demonstrate frequent RKIP loss in tumour buds themselves, implicating that loss of RKIP may be an early event during EMT. In correlative analysis, we identify a significant correlation between loss of RKIP, E-Cadherin negativity and nuclear translocation of NF- κ B, in agreement with the abovementioned mechanistic studies.

Next, we performed a novel correlative analysis of RKIP protein expression in the context of activating B-Raf (V600) and KRAS mutations in each histological zone. Interestingly, no impact of B-Raf and KRAS mutational status on RKIP protein expression or survival was observed. While the inhibitory function of RKIP on Raf-1 signalling is well described, previous studies have provided conflicting results on the ability of RKIP to inhibit wild-type B-Raf kinase (Park *et al*, 2005; Trakul *et al*, 2005). For KRAS, no direct regulatory effect of RKIP has been identified, yet RKIP interferes with the association of the central RAS-target Raf-1 with MEK (Yeung *et al*, 1999). It has not been investigated whether the oncogenic effects of a constitutive activation of the B-Raf or KRAS-pathway may be limited by maintained RKIP expression. Here, we demonstrate first evidence that both B-Raf and KRAS mutation do not correlate with a change of RKIP protein expression in CRC in a well-characterised cohort.

In the context of MMR deficiency, an increased frequency of RKIP-loss was observed in the tumour centre and in tumour buds, yet no association was observed at the tumour front. Previous studies have provided conclusive evidence of a strong prognostic effect of RKIP expression in MMR-deficient CRC, an effect that was attributed to anti-apoptotic effects in the context of microsatellite deficiency (Minoo *et al*, 2007). However, a positive correlation between loss of RKIP expression and MMR proteins was not observed (Al-Mulla *et al*, 2006; Minoo *et al*, 2007). Silencing of the RKIP promoter through methylation of CpG islands has been identified as a possible mechanism leading to RKIP loss in MMR-proficient CRC (Al-Mulla *et al*, 2008). Further, we have previously characterised a significant relation between decreased RKIP expression and high CpG island methylator phenotype in CRC (Zlobec *et al*, 2011). As MMR-deficient cancers demonstrate the highest degree of promoter methylation (Bettington *et al*, 2013), the observed increased frequency of RKIP-loss may be indirect evidence of RKIP promoter-silencing through aberrant methylation. Interestingly, conflicting results were provided by a previous study of RKIP promoter methylation in CRC: In 28 selected cases with loss of RKIP, no methylation of the promoter region of RKIP could be identified by methylation-specific PCR independent of MMR status (Minoo *et al*, 2007). However, these results may be influenced by the small number of patients investigated, the resolution of the method employed as well as the selected promoter region, and should be further analysed.

This study must be interpreted in the context of its limitations. First, a differentiation between phosphorylated RKIP and unmodified RKIP protein could not be detected based on the immunohistochemical expression analysis performed. Experimental studies have provided conclusive evidence that RKIP can be phosphorylated at serine 153 (Ser-153) by protein kinase C, which leads to dissociation from Raf-1 (Corbit *et al*, 2003). The analysis of the contribution of pRKIP to the prognostic impact of RKIP expression may be an interesting leverage point for further study. Further, mismatch repair status was determined using a four-marker panel for MMR-protein expression, which does not allow a specific differentiation between sporadic and hereditary (HNPCC-associated) microsatellite instable CRCs (Cicek *et al*, 2011). Owing to the low frequency of MMR-deficient cancers and limited patient material, a specific differentiation between HNPCC and sporadic microsatellite instable CRC patients was not attempted.

The present study provides a detailed characterisation of RKIP expression patterns in CRC in correlation with tumour

morphology, molecular features and prognosis. By geographic protein expression analysis, we demonstrate that loss of RKIP expression in the tumour centre is strongly associated with both the biological and clinical behaviour of the cancer. First, loss of RKIP expression in the tumour centre correlates with the features of EMT and is most predictive of aggressive histopathological features including tumour budding, an infiltrative tumour border configuration, vascular invasion and distant metastasis. Second, RKIP expression in the tumour centre holds independent prognostic value that is maintained under chemotherapeutic treatment of advanced CRC. Third, molecular analysis demonstrated that RKIP expression is not influenced by B-Raf or KRAS mutations. We therefore recommend the evaluation of RKIP expression in the tumour centre of CRC as a biomarker for prospective validation in randomized multicenter trials.

ACKNOWLEDGEMENTS

We would like to thank Caroline Hammer, Mary Economou and Dr Dominique Müller, PhD, for their expert technical support.

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Supplementary Information accompanies this paper on British Journal of Cancer website (<http://www.nature.com/bjc>)